



教學計劃表 Syllabus

課程名稱(中文) Course Name in Chinese	財務管理		學年/學期 Academic Year/Semester	102/1	
課程名稱(英文) Course Name in English	Financial Management				
科目代碼 Course Code	FIN_50000	系級 Department & Year	碩士	開課單位 Course-Offering Department	財務金融學系
修別 Type	必修 Required	學分數/時間 Credit(s)/Hour(s)	3.0/3.0		
授課教師 Instructor	/池祥萱/蕭朝興/蕭育仁				
先修課程 Prerequisite					
課程描述 Course Description					
課程報告主要是仿照，國內銀行業企業金融內部升等RM模式，由學生分組方式進行，每組針對抽選的企業，按其相關資訊，例如財報資料等，從銀行端的角度進行分析探討如何維繫或提供企業可能之金融服務，除紙本報告資料之後，11/2(六)每組仍要求準備至多15分鐘的口頭簡報(包含簡報檔)，報告當天將邀請多位業界資深RM先進，針對各組學生的報告內容進行交流指導，同時業界資深RM的評分將佔總成績的50%。					
課程目標 Course Objectives					
This course including the latest developments in corporate finance theory and practice that help students to apply theory to solve financial problems.					
系專業能力 Basic Learning Outcomes				課程目標與系專業能力相關性 Correlation between Course Objectives and Dept.'s Education Objectives	
A	具備財務金融的分析能力			●	
B	具備企業財務管理專業能力			●	
C	具備英語閱讀溝通協調等能力			●	
D	具備獨立研究之技能，以進行財金議題研究			●	
E	具備個人投資理財能力			●	
F	具備電腦程式運算及設計能力			○	
圖示說明Illustration：● 高度相關 Highly correlated ○ 中度相關 Moderately correlated					
授課進度表 Teaching Schedule & Content					
週次Week	內容 Subject/Topics			備註Remarks	
1	Fama, Eugene F., and Kenneth R. French. 1992. "The Cross-Section of Expected Stock Returns." Journal of Finance 47, 427-65			授課老師：蕭朝興老師	
2	中秋節放假一天				

3	<p>其他金融機構對企業資本結構的影響</p> <ol style="list-style-type: none"> 1. Kisgen, D. J., (2006), "Credit Ratings and Capital Structure, " Journal of Finance 61(3),1035-1072. 2. Kisgen, D. J., (2009), " Do Firms Target Credit Ratings or Leverage Levels? " Journal of Financial and Quantitative Analysis 44(6), 1323-1344. 3. Roberts M. and A. Sufi, (2009), " Control Rights and Capital Structure: An Empirical Investigation," Journal of Finance, 64, 1657-1695. 4. T. Schmid (2013) , "Control considerations, creditor monitoring, and the capital structure of family firms," Journal of Banking & Finance, 37, 257-272 	授課老師：蕭育仁老師
4	<p>企業財務決策與環境對聯貸條件的影響</p> <ol style="list-style-type: none"> 1. Sufi, A., (2009), "Bank Lines of Credit in Corporate Finance: An Empirical Analysis", Review of Financial Studies, 22, 3, 1057-1088. 2. Campello, M., C. Lin, Y. Ma, and H. Zou, (2011), " The Real and Financial Implications of Corporate Hedging, " Journal of Finance, 66, 1615-1647. 3. Lin, C., Y. Ma, P. Malatesta, and Y. Xuan, (2012), " Corporate Ownership Structure and Bank Loan Syndicate Structure, " Journal of Financial Economics, 104, 1-22. 4. Ferreira M. and P. Matos, (2012), "Universal Banks and Corporate Control: Evidence from the Global Syndicated Loan Market," Review of Financial Studies, 25, 9, 2703-2744. 5. L. P. Fields, R. Donald, and F. A. Subrahmanyam, (2012), " Board quality and the cost of debt capital: The case of bank loans, " Journal of Banking & Finance, 36, 1536-1547. 6. M. M. Rahaman, and A. A. Zaman, (2013), "Management quality and the cost of debt: Does management matter to lenders? " Journal of Banking & Finance, 37, 854-874. 7. P. Valta, (2013), "Competition and the cost of debt", Journal of Financial Economics, 105, 661-682. 8. M. G. Hertz, and M. S. Officer, (2012), "Industry contagion in loan spread, " Journal of Financial Economics, 103, 493-506. 	授課老師：蕭育仁老師
5	國慶日放假一天	
6	<p>聯合貸款財務限制及再議約條款對企業財務決策的影響 I</p> <ol style="list-style-type: none"> 1. Roberts M. and S. Chava, (2008), "How does Financing Impact Investment? The Role of Debt Covenants," Journal of Finance, 63, 2085 - 2121. 2. Nini G., D. Smith and A. Sufi, (2009), "Creditor Control Rights and Firm Investment Policy, " Journal of Financial Economics, 92, 3, 400 - 420. 3. Roberts M. and A. Sufi, (2009), "Renegotiation of Financial Contracts: Evidence from Private Credit Agreements", Journal of Financial Economics, 93, 159-184. 4. Brockman, P. and Unlu, E. (2009) "Dividend policy, creditor rights, and the agency costs of debt", Journal of Financial Economics, 92, 276-299. 5. Acharya V. V., Y. Amihud and L. Litov, (2011), "Creditor rights and corporate risk-taking ". Journal of Financial Economics, 102, 150-166. 6. Bulan L. and T. Hull (2013), "The impact of technical defaults on dividend policy." Journal of Banking & Finance, 37, 814 -823. 	授課老師：蕭育仁老
7	聯合貸款財務限制及再議約條款對企業財務決策的影響 II	授課老師：蕭育仁老師
8	Students' presentations	授課老師：蕭育仁老師
9	Fama, Eugene F., and Kenneth R. French. 1993. "Common Risk Factors in the Returns on Stocks and Bonds." Journal of Financial Economics 33, 3 - 56.	授課老師：蕭朝興老師
10	Baker, Malcolm and Jeffrey Wurgler, 2006. "Investor Sentiment and the Cross-Section of Stock Returns." Journal of Finance 61, 1645-1680.	授課老師：蕭朝興老師

11	Fang, Lily and Joel Peress, 2009. "Media Coverage and the Cross-section of Stock Returns." Journal of Finance 64, 2023-2052.	授課老師：蕭朝興老師
12	Fama, Eugene F., and Kenneth R. French. 2008. "Average Returns, BM, and Share Issues." Journal of Finance 63, 427 - 65	授課老師：蕭朝興老師
13	Students' presentations	授課老師：蕭朝興老師
14	公司目標與財務經理人、公司治	授課老師：池祥萱老師
15	如何衡量管理當局的財務績效	授課老師：池祥萱老師
16	企業社會責任與財務績效的連結	授課老師：池祥萱老師
17	Chen, S. S., 2006, "The Economic Impact of Corporate Capital Expenditures: Focused Firms versus Diversified Firms," Journal of Financial and Quantitative Analysis, 41, 341-355	授課老師：池祥萱老師
18	Students' presentations	授課老師：池祥萱老師

教學策略 Teaching Strategies

- 課堂講授 Lecture
 分組討論 Group Discussion
 參觀實習 Field Trip
 其他 Miscellaneous: 口頭報告

學期成績計算及多元評量方式 Grading & Assessments

配分項目 Items	配分比例 Percentage	多元評量方式 Assessments							其他
		測驗 會考	實作 觀察	口頭 發表	專題 研究	創作 展演	卷宗 評量	證照 檢定	
平時成績 General Performance									參考下方「評量方式補充說明」
期中考成績 Midterm Exam									參考下方「評量方式補充說明」
期末考成績 Final Exam									參考下方「評量方式補充說明」
作業成績 Homework and/or Assignments									參考下方「評量方式補充說明」
其他 Miscellaneous (_____)									參考下方「評量方式補充說明」

評量方式補充說明

Grading & Assessments Supplemental instructions

三位老師的評分各佔學期總成績1/3，以下是個別老師的評分標準：

1. 蕭朝興老師
評分標準：出席率20%、簡報檔20%、報告60%
2. 蕭育仁老師
評分標準：課堂表現(20%)與課程報告(80%)(11/2(六))
3. 池祥萱老師
評分標準：作業15%、上課參與15%、口頭報告30%、書面報告40%

教科書與參考書目 (書名、作者、書局、代理商、說明)

Textbook & Other References (Title, Author, Publisher, Agents, Remarks, etc.)

以老師上課指定paper及講義為主。

課程教材網址 (教師個人網址請列在本校內之網址)
Teaching Aids & Teacher's Website (Personal website can be listed here.)

1. 蕭朝興老師上課講義及相關資料：

登入Gmail帳戶

帳號：ndhu.finance.chiao@gmail.com

密碼：investment8035.

找到In-campus Teaching→財務管理(碩)。

2. 池祥萱老師上課講義及相關資料：

公佈於東華e學院上或以Email通知。

其他補充說明 (Supplemental instructions)

無。