



## 教學計劃表 Syllabus

課程名稱(中文) Course Name in Chinese	金融機構與市場		學年/學期 Academic Year/Semester	104/2
課程名稱(英文) Course Name in English	Financial Institution and Market			
科目代碼 Course Code	FIN_40500	系級 Department & Year	學四	開課單位 Course-Offering Department
修別 Type	學程 Program	學分數/時間 Credit(s)/Hour(s)	3.0/3.0	
授課教師 Instructor	/蕭朝興			
先修課程 Prerequisite				
課程描述 Course Description				
<p>This course is designed to help students understand the role of financial institutions and markets play in the historical and concurrent business environment that you will face in the future. It also develops students a series of applications of principles from finance and economics that explore the connection between financial markets, financial institutions and the economy. On the financial markets side, students will learn the term structure of interest rates, stocks, principals of derivatives, and currencies. On the institutions side, students will learn commercial banks, investment banks, insurance companies, mutual funds, foreign investors, and their role of in the economy</p>				
課程目標 Course Objectives				
<p>將金融市場與金融機構做一致性的架構分析和介紹，使一些基本原則如資訊不對稱、代理問題、交易成本、資產市場均衡、效率市場、風險衡量與管理，以及整體供需分析等均能融入各種模式，強化學生的思考能力。此課程除逐步發展與推導模式外，還輔以金融實務來認識金融市場與機構，進而讓學生容易明瞭各種財務金融之基本原則及其與金融市場管理的關係，並透過應用說明，讓學生將理論與金融實務結合應用。</p>				
系專業能力 Basic Learning Outcomes				課程目標與系專業能力相關性 Correlation between Course Objectives and Dept.'s Education Objectives
A	具備經濟學、會計學、統計學及數學的基礎能力 Basic knowledge of the financial theory Economics, accounting, statistical and mathematical			
B	具備財務金融基本學理 Understanding of the development of the financial discipline			●
C	具備運用財務金融學理進行分析能力 Basic knowledge of financial theory analysis			●
D	具備資料整理、書面呈現或口頭報告之能力 Basic knowledge of documentation and presentation skill			●
E	具備財經時事基本分析能力 Capabilities for financial analysis of current affairs			●
F	具備電腦程式運算及應用基本能力 Ability of computer programs, algorithms and applications			
G	具備英語閱讀溝通協調等基本能力 English reading ability of communication and coordination			●
圖示說明 Illustration : ● 高度相關 Highly correlated ○ 中度相關 Moderately correlated				
授課進度表 Teaching Schedule & Content				
週次 Week	內容 Subject/Topics			備註 Remarks

1	Introduction Chapter 7 Why Do Financial Institutions Exist?	
2	Chapter 8 Why Do Financial Crises Occur and Why Are They So Damaging to the Economy?	
3	Chapter 9 Central Banks: A Global Perspective	
4	Chapter 10 Conduct of Monetary Policy: Tools, Goals, Strategy, and Tactics	
5	Chapter 17 Banking and the Management of Financial Institutions.	
6	Chapter 18 Financial Regulation	
7	Spring break (No class)	
8	Chapter 19 Banking Industry: Structure and Competition	
9	期中考試週 Midterm Exam	
10	Chapter 20 The Mutual Fund Industry	
11	Chapter 21 Insurance Companies and Pension Funds	
12	Chapter 22 Investment Banks, Security Brokers and Dealers, and Venture Capital Firms	
13	Chapter 23 Risk Management in Financial Institutions	
14	Chapter 24 Hedging with Financial Derivatives	
15	Chapter 25 Saving Associations and Credit Unions	
16	Chapter 26 Finance Companies	
17	Project report (1)	
18	Project report (2)	

### 教學策略 Teaching Strategies

- 課堂講授 Lecture     
 分組討論 Group Discussion     
 參觀實習 Field Trip  
 其他 Miscellaneous:

### 學期成績計算及多元評量方式 Grading & Assessments

配分項目 Items	配分比例 Percentage	多元評量方式 Assessments							
		測驗 會考	實作 觀察	口頭 發表	專題 研究	創作 展演	卷宗 評量	證照 檢定	其他
平時成績 General Performance	10%		✓						
期中考成績 Midterm Exam	30%	✓							
期末考成績 Final Exam									
作業成績 Homework and/or Assignments									
其他 Miscellaneous (Project report)	60%								

### 評量方式補充說明

### Grading & Assessments Supplemental instructions

教科書與參考書目 (書名、作者、書局、代理商、說明)

Textbook & Other References (Title, Author, Publisher, Agents, Remarks, etc.)

Mishkin, Frederic S. and Stanley G. Eakins 2012. Financial Markets & Institutions, 7th Edition, Global Edition, Pearson, New York. 代理商：華泰文化

課程教材網址 (教師個人網址請列在本校內之網址)

Teaching Aids & Teacher's Website (Personal website can be listed here.)

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其他補充說明 (Supplemental instructions)